

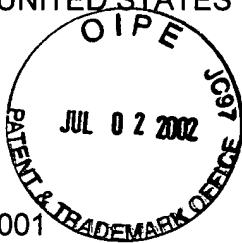
530 Rec'd STATTO 02 JUL 2002  
PCT \$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of  
DAHLQUIST et al.

Serial No. 09/937,779

Filed: September 28, 2001



) Group Art Unit:

) Examiner:

For: NEW CLASS OF ENZYMES IN THE BIOSYNTHETIC PATHWAY FOR THE  
PRODUCTION OF TRIACYCLOGLYCEROL AND RECOMBINANT DNA MOLECULES  
ENCODING THESE ENZYMES

I hereby certify that this correspondence  
is being deposited with the United States  
Postal Service as first class mail in an  
envelope addressed to Commissioner of  
Patents and Trademarks, Washington, D.C.  
20231, on:

6/26/02

Date of Deposit

Herbert B. Keil  
Person Making Deposit

*H.B. Keil*  
Signature

RESPONSE TO NOTICE OF MISSING REQUIREMENTS

Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20031

Sir:

In response to the notice of missing requirements, attached please find an executed  
declaration for the above-identified application. Also attached is an assignment for  
recordation. A check for \$170.00 is attached. Should there be any additional fees, kindly  
charge Deposit Account No. 11-0345.

07/09/2002 MAYPAGE 00000129 09937779

01 FC:154

130.00 DP

Respectfully submitted,

KEIL & WEINKAUF

*H.B. Keil*

Herbert B. Keil  
Reg. No. 18,967

1101 Connecticut Ave., N.W.  
Washington, D.C. 20036  
(202)659-0100



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
 United States Patent and Trademark Office  
 Washington, D.C. 20231  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/937,779	Anders Dahlquist	0093/000003

RECEIVED

MAY - 8 2002

Herbert B Keil  
 Keil & Weinkauff  
 1101 Connecticut Avenue N.W.  
 Washington, DC 20036



KEIL &amp; WEINKAUF

INTERNATIONAL APPLICATION NO.	
PCT/EP00/02701	
I.A. FILING DATE	PRIORITY DATE
03/28/2000	04/01/1999

CONFIRMATION NO. 7114

371 FORMALITIES LETTER



\*OC000000007986172\*

Date Mailed: 05/01/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Preliminary Amendments
- Request for Immediate Examination

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions

of 37 CFR 1.136(a).

Additionally the following defects have been observed:

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
  - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
  - APPLICANT MUST PROVIDE:
    - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
    - A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase PatentIn Software, call (703) 306-2600
  - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)
- Additional claim fees of **\$80** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$210** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$80**
  - **\$80** for 2 independent claims over 3.
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

FREDERICK SMITH

Telephone: (703) 305-3654

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
-----------------------------	-------------------------------	------------------

09/937,779

PCT/EP00/02701

0093/000003

FORM PCT/DO/EO/905 (371 Formalities Notice)